

FINAL & INTERIM REPORTS ISSUED 01 May to 31 August 2018

ref	2017/18 Audits	Risk	Revised potential days	Final Report Issued	2017/18 Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
01C	Corporate Commercial Enterprise & Investment	4	15	12/06/18	13.82	0	0	0	0	0	substantial
02C	Corporate Governance & Ethical Culture	3	15	12/06/18	17.35	2	0	0	2	0	substantial
23O	Planning - Car Parking & NEPP	2	10	09/05/18	5.66	5	0	3	2	0	limited
						7	0	3	4	0	

PROGRESS ON THE AUDIT PROGRAMME 2018/19

Internal Audit Programme 2018/19										
ref	Audit	2018/19 days	qtr	IA Risk 2018/19	Started	Draft	Final	Days Taken	Status	Comment
01C	Corporate Counter Fraud	5	1	2				0.00		
02C	Corporate Equality & Diversity	35	1	4	04/04/18	24/08/18		35.63	interim draft	
03C	Corporate Health & Safety	10	3	4				0.00		
04KF	HR & Payroll (combined)	15	2	4				0.00		
05KF	Budgets	5		3				0.00		
06KF	Cash & Bank	5		3				0.00		
07KF	Contracts & Procurement	10		3				0.00		
08KF	Creditors	10		4				0.00		
09KF	Housing Rents	10	2	3	02/08/18	31/08/18		9.21	draft	
10KF	Income Fees & Charges	5	1	3	26/07/18			4.65	testing	
11O	Museum	5	1	2				0.00		
12O	Democratic Services	10	2	3				0.00		
13O	Legal Services	10	1	4	20/04/18			1.49	planning	
14O	Community Safety	10		3				0.00		

PROGRESS ON THE AUDIT PROGRAMME 2018/19

150	Environmental Health - Enforcement	15	2	3	28/08/18			4.82	planning	
160	Disabled Facilities Grants	10	4	3				0.00		
170	Empty Homes & Private Sector Housing	10	4	3				0.00		
180	Property Services - Planned Maintenance	15		3				0.00		
190	Property Services - Stock & Voids	5	2	4				0.00		
200	Property Services – Stores	10	2	3				0.00		
210	ICT Security	10	4	3				0.00		
220	Building Control Service and Fees	10	3	3				0.00		
230	Planning - Development Management, Support & Advice	10		3				0.00		
240	Economic Development Service - business parks & communities	10	3	2				0.00		
250	Economic Development Service - Stansted Airport & LSP economic growth, jobs & prosperity	10	3	2				0.00		
260	Planning – Enforcement	15	1	3	19/04/18	30/07/18		9.41	draft	
270	Planning - Neighbourhood Plans	10		3				0.00		
280	Trade Waste and Income Generating Services	10	1 & 3	4	23/04/18			3.07	testing	
TOTAL AUDIT DAYS		295						68.29		

LEVEL 3 AND 4 RECOMMENDATIONS IMPLEMENTED 01 May to 31 August 2018

Code & Title	Description	Risk Level	Managed By	Due Date	Completed
1718 150 Leisure PFI 02	It is recommended that: a) Spot checks are made of Performance and Availability Payment Mechanism (Paymech) deductions received as shown on the monthly Operational report to ensure the correct figures are used and verified against updated backup documents in conjunction with the PFI Contract b) additional information such as measured periods and rectification periods are included in the Payment Mechanism report received from Linteum.	3	Assistant Director of Corporate Services / Leisure & Performance Manager	31/07/18	26/07/18